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NOTICE OF ALLOWANCE AND FEE(S) DUE

22850

7590

01/29/2009

OBLON, SPIVAK, MCCLELLAND MAIER & NEUSTADT, P.C. 1940 DUKE STREET ALEXANDRIA, VA 22314

EXAMINER

LAFORGIA, CHRISTIAN A

ART UNIT PAPER NUMBER

2439

DATE MAILED: 01/29/2009

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/550,216 | 09/22/2005 | Kei Karasawa | 277747US90PCT | 1366 |

TITLE OF INVENTION: PACKET ENCRYPTION SUBSTITUTING DEVICE, METHOD THEREOF, AND PROGRAM RECORDING MEDIUM

| ĺ | APPLN. TYPE | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE |
|---|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| • | nonprovisional | NO | \$1510 | \$300 | \$0 | \$1810 | 04/29/2009 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

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II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

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INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where

| appropriate. All further indicated unless correct maintenance fee notifica | correspondence includir ed below or directed oth tions. | ng the Patent, advance on nerwise in Block 1, by (a | rders and notification of na specifying a new corres | | | | | |
|--|---|---|---|---|--------------------------------------|--|---|--|
| CURRENT CORRESPOND | ENCE ADDRESS (Note: Use Bl | ock 1 for any change of address) | Fee(| s) Transmittal. This ers. Each additional | s certif . paper | icate cannot be used for | r domestic mailings of the or any other accompanying nt or formal drawing, must | |
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| OBLON, SPIV 1940 DUKE ST ALEXANDRIA | VAK, MCCLELLA REET ., VA 22314 | AND MAIER & N | EUSTADT, P.C.I he State addr trans | reby certify that thi es Postal Service w essed to the Mail smitted to the USP | s Fee(ith suf Stop ΓΟ (57 | s) Transmittal is being ficient postage for firs 1SSUE FEE address 1) 273-2885, on the day | deposited with the United t class mail in an envelope above, or being facsimile tte indicated below. | |
| | | | | | | | (Depositor's name) | |
| | | | | | | | (Signature) | |
| | | | | | | | (Date) | |
| APPLICATION NO. | FILING DATE | | FIRST NAMED INVENTOR | | ATTO | RNEY DOCKET NO. | CONFIRMATION NO. | |
| 10/550,216 | 09/22/2005 | • | Kei Karasawa | 277747US90PCT | | 77747US90PCT | 1366 | |
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| nonprovisional | NO | \$1510 | \$300 | \$0 | | \$1810 | 04/29/2009 | |
| EXAM | MINER | ART UNIT | CLASS-SUBCLASS | | | | | |
| LAFORG1A, O | CHRISTIAN A | 2439 | 713-153000 | • | | | | |
| 1. Change of correspond CFR 1.363). | ence address or indication | n of "Fee Address" (37 | 2. For printing on the p | | | | | |
| _ | oondence address (or Cha B/122) attached. | nge of Correspondence | (I) the names of up to or agents OR, alternative | 3 registered patent ely, | attorr | neys ¹ | | |
| _ | | | (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to | | | | | |
| ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Custome Number is required. | | | 2 registered patent attorneys or agents. If no name is listed, no name will be printed. | | | | | |
| 3. ASSIGNEE NAME A | ND RESIDENCE DATA | A TO BE PRINTED ON | ГНЕ PATENT (print or typ | e) | | | | |
| PLEASE NOTE: Un recordation as set fort | less an assignee is ident th in 37 CFR 3.11. Comm | ified below, no assignee pletion of this form is NO | data will appear on the pa T a substitute for filing an | ntent. If an assigne | ee is ic | lentified below, the do | ocument has been filed for | |
| (A) NAME OF ASSI | • | | (B) RESIDENCE: (CITY | · · | OUNT | RY) | | |
| | | | | | | | | |
| Please check the appropri | riate assignee category or | categories (will not be pr | rinted on the patent): | Individual 🖵 Co | rporati | on or other private gro | up entity 🗖 Government | |
| 4a. The following fee(s) | are submitted: | 41 | o. Payment of Fee(s): (Plea | se first reapply an | v prev | iously paid issue fee | shown above) | |
| ☐ 1ssue Fee | | | A check is enclosed. | | - | | | |
| Publication Fee (No small entity discount permitted) Advance Order - # of Copies | | | ☐ Payment by credit card. Form PTO-2038 is attached. ☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any | | | | | |
| Advance Order - | # of Copies | | overpayment, to Depo | sit Account Numbe | r | required fee(s), any def (enclose ai | n extra copy of this form). | |
| 5. Change in Entity Sta | itus (from status indicated as SMALL ENTITY statu | | ☐ b. Applicant is no long | ger claiming SMAL | L ENT | Γ1TY status. See 37 CF | FR 1.27(g)(2). | |
| NOTE: The Issue Fee an interest as shown by the | nd Publication Fee (if requeecords of the United Sta | uired) will not be accepte tes Patent and Trademark | d from anyone other than the | | | | | |
| Authorized Signature | | | | Date | | | | |
| Typed or printed name | | | | | | | | |
| This collection of inform | nation is required by 37 C | CFR 1.311. The information | on is required to obtain or r | etain a benefit by th | ne publ | ic which is to file (and | by the USPTO to process) | |
| an application. Confiden submitting the complete this form and/or suggest Box 1450, Alexandria, V Alexandria, Virginia 223 | itiality is governed by 35 d application form to the ions for reducing this but /irginia 22313-1450. DC | U.S.C. 122 and 37 CFR USPTO. Time will vary rden, should be sent to the NOT SEND FEES OR | 1.14. This collection is est depending upon the indiv e Chief Information Office COMPLETED FORMS TO | imated to take 12 n idual case. Any co r, U.S. Patent and D'THIS ADDRESS | ninutes mment Fraden . SENI | to complete, including s on the amount of tirnark Office, U.S. Depa D TO: Commissioner f | g gathering, preparing, and ne you require to complete rtment of Commerce, P.O. or Patents, P.O. Box 1450, | |

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| 22850 75 | 590 01/29/2009 | EXAMINER | | | | |
| OBLON, SPIVA | K, MCCLELLAND | LAFORGIA, CHRISTIAN A | | | | |
| | 1940 DUKE STREET | | | PAPER NUMBER | | |
| ALEXANDRIA, V | 'A 22314 | | 2439 | | | |
| | | | DATE MAILED: 01/29/2009 | | | |

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 10 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 10 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

| | Application No. | Applicant(s) | |
|--|--|--|--|
| | 10/550,216 | KARASAWA ET AL. | |
| Notice of Allowability | Examiner | Art Unit | |
| | Christian LaForgia | 2439 | |
| The MAILING DATE of this communication appear All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI | (OR REMAINS) CLOSED in this app or other appropriate communication IGHTS. This application is subject to | olication. If not included will be mailed in due course. THIS | |
| 1. This communication is responsive to <u>10 December 2008</u> . | | | |
| 2. The allowed claim(s) is/are <u>1-6 and 8-18</u> . | | | |
| 3. Acknowledgment is made of a claim for foreign priority ur a) All b) Some* c) None of the: 1. Certified copies of the priority documents have 2. Certified copies of the priority documents have 3. Copies of the certified copies of the priority documents have International Bureau (PCT Rule 17.2(a)). * Certified copies not received: | been received. been received in Application No | | |
| Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. | | complying with the requirements | |
| A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give | | | |
| 5. CORRECTED DRAWINGS (as "replacement sheets") mus | et be submitted. | | |
| (a) including changes required by the Notice of Draftspers | on's Patent Drawing Review (P T O- | 948) attached | |
| 1) 🔲 hereto or 2) 🔲 to Paper No./Mail Date | | | |
| (b) ☐ including changes required by the attached Examiner's Paper No./Mail Date | s Amendment / Comment or in the O | office action of | |
| Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t | | | |
| DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT | | | |
| Attachment(s) 1. ☐ Notice of References Cited (PTO-892) 2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948) | 5. ☐ Notice of Informal Pa 6. ☐ Interview Summary Paper No./Mail Dat | (PTO-413), | |
| 3. Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date 1/5/09 4. Examiner's Comment Regarding Requirement for Deposit of Biological Material | 7. | ent/Comment ent of Reasons for Allowance | |
| /Christian LaForgia/ Primary Examiner, Art Unit 2439 | | | |

Application/Control Number: 10/550,216 Page 2

Art Unit: 2439

DETAILED ACTION

Continued Examination Under 37 CFR 1.114

- 1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 10 December 2008 has been entered.
- 2. Claims 1-6 and 8-18 have been presented for Examination.
- 3. Claim 7 has been cancelled as per Applicant's amendment.

Response to Arguments

4. Applicant's arguments, see page 12, filed 10 December 2008, with respect to the prior art rejections have been fully considered and are persuasive. The prior art rejections of claims 1-6 and 8-18 have been withdrawn.

Allowable Subject Matter

- 5. Claims 1-6 and 8-18 are allowed.
- 6. The following is an examiner's statement of reasons for allowance:

As noted above, the Examiner agrees with the Applicant's arguments on page 12, specifically that the prior art does not teach "wherein *the packet processing proxy apparatus* is connected between the Internet and the terminal and *has no IP address*." The Examiner was unable to find a teaching, suggestion, or motivation that would render the above limitation obvious. Claims 1-6 and 8-18 are, therefore, novel and not obvious.

Application/Control Number: 10/550,216

Art Unit: 2439

7. Any comments considered necessary by applicant must be submitted no later than the

payment of the issue fee and, to avoid processing delays, should preferably accompany the issue

Page 3

fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for

Allowance."

Conclusion

8. Any inquiry concerning this communication or earlier communications from the

examiner should be directed to Christian LaForgia whose telephone number is (571)272-3792.

The examiner can normally be reached on Monday thru Thursday 7-5.

9. If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Kambiz Zand can be reached on (571) 272-3811. The fax phone number for the

organization where this application or proceeding is assigned is 571-273-8300.

10. Information regarding the status of an application may be obtained from the Patent

Application Information Retrieval (PAIR) system. Status information for published applications

may be obtained from either Private PAIR or Public PAIR. Status information for unpublished

applications is available through Private PAIR only. For more information about the PAIR

system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR

system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would

like assistance from a USPTO Customer Service Representative or access to the automated

information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Christian LaForgia/

Primary Examiner, Art Unit 2439

clf